

## 01144 - Internal Auditor

**Contact Information -- Person ID: 32109821**

Name: ALEXANDER L. FERNS Address: [REDACTED]  
Home Phone: [REDACTED] Alternate Phone: [REDACTED]  
Email: [REDACTED] Notification Preference: Email  
Former Last Name: [REDACTED] Month and Day of Birth: 06/18

**Personal Information**

Driver's License: Yes, Florida, [REDACTED]  
Can you, after employment, submit proof of your legal right to work in the United States? Yes  
What is your highest level of education? Master's Degree

**Preferences**

Minimum Compensation:  
Are you willing to relocate? No  
Types of positions you will accept: Regular  
Types of work you will accept: Full Time  
Types of shifts you will accept: Day , Evening , Night , Rotating , Weekends , On Call (as needed)

**Objective****Education****Graduate School**

*Liberty University*  
[Unspecified Start] - [Unspecified End]  
Lynchburg, Virginia

Did you graduate: Yes  
College Major/Minor: Business Administration  
Degree Received: Master's

**College**

*St. Aloysius College, University Of Jabalpur*  
[Unspecified Start] - [Unspecified End]  
Jabalpur, International

Did you graduate: Yes  
College Major/Minor: Economics  
Degree Received: Bachelor's

**Work Experience****SENIOR AUDITOR**

11/2001 - Present

PALM BEACH COUNTY BOARD  
West Palm Beach, Florida

Hours worked per week: 40  
Monthly Salary: \$0.00  
Name of Supervisor: Mr. Joe Bergeron - Internal Auditor  
May we contact this employer? Yes

**Duties**

Conduct compliance and performance audits of all County Departments in accordance to GAGAS and Standards for the Professional Practice of Internal Auditing.  
Lead Auditor role and responsibility for all team audits.

**ACCOMPLISHMENTS:**

Identified various significant deficiencies and have made feasible and cost-effective recommendations resulting in the establishment of policies and procedures and subsequent improvement in the effectiveness and efficiency of the operations. Some examples include; Capital projects, fleet management, inventory management, PBSO's P-Card program, Palm Tran operations, PZB building inspections.  
Identified significant deficiencies in IT security, with recommendations resulting in tightened Information Security policies and procedures.  
Conducted Benchmarking and Peer reviews of the Audit Department to drive organizational improvement.

Assisted with training and development of new and junior staff.  
Lead audit teams, by coaching, developing, reviewing and supervising team members.  
Created audit programs for new audit areas for departmental use  
Assisted with the design of the Internal Audit database  
Assisted the Internal Audit Director with Audit Committee Reports  
Assisted the ISS Security Section with the design requirements for the SIMS project.

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**SENIOR AUDITOR**

3/1999 - 11/2001

WACKENHUT CORPORATION  
Palm Beach Gardens, Florida

Hours worked per week: 40  
Monthly Salary: \$0.00  
Name of Supervisor: Mr. Richard Kamentz - VP of  
Internal Audit -Internal Audit Director  
May we contact this employer? Yes

**Duties**

Palm Beach Gardens, FL (3/99 - 11/2001)  
Fortune 1000 global company engaged in the security, healthcare and business process outsourcing.

**SENIOR AUDITOR**

Led audit teams, by coaching, developing, reviewing and supervising team members.  
Assisted the Internal Audit Director with Audit Committee Reports, Annual Audit Plans and department scheduling.  
Responsible for the audit department in the absence of the Internal Audit Director.

**ACCOMPLISHMENTS:**

Created a benchmarking program for the Audit department that was closely tied in to the value-added services offered to our auditees.  
Helped with the redesign of audit programs using the new audit approach and philosophy of value-added audit services and control self-assessment.  
Created new Audit Programs for new areas/entities to be audited.  
Created queries of databases to provide better research of audit clients.

**Reason for Leaving**

Too much travel; 9/11

**AUDIT MANAGER**

1/1996 - 3/1999

SETA CORPORATION  
Boca Raton, Florida

Hours worked per week: 40  
Monthly Salary: \$0.00  
Name of Supervisor: Mrs. Mary Milord - Internal  
Audit Director  
May we contact this employer?

**Duties**

Supervised reviewed, trained and developed the two audit team members.  
Assisted with the creation of the annual audit plan and assigned audit staff to numerous audit engagements.  
Worked with Management team to enhance business process and system controls.  
Assisted the Internal Audit Director with Annual Audit Plans and Audit Schedules.  
Responsible for the audit department in the absence of the Internal Audit Director.  
Worked with the Improvement team to develop a Quality Improvement and Performance Management Program system.

**ACCOMPLISHMENTS:**

Initiated changes in Purchasing department with respect to vendor contracts that resulted in \$1.2Million savings over a twelve month period.  
Acted as liaison for company during State sales tax audit and helped identify discrepancies which resulted in a reduction of \$750,000 in tax assessment.  
Instrumental in identifying and developing two major credit card fraud cases resulting in felony arrests.  
Identified \$500,000+ in receivables misreported (concealed) by a major client.  
Instrumental in designing and implementing a Miscellaneous Merchandise Bid Process.  
Instrumental in the designing and implementation of the Quality Certification Program for Semi Fine Jewelry Vendors.  
Conducted organization wide Process improvement seminars.

**Reason for Leaving**

No advancement opportunities.

**REGIONAL ADMINISTRATOR**

12/1990 - 12/1995

Hours worked per week: 40

Monthly Salary: \$0.00

May we contact this employer?

FLORIDA DEPARTMENT OF HIGHWAY SAFETY AND  
MOTOR VEHICLES Broward County  
Sunrise, Florida

**Duties**

Responsible for the administration of the Department of Motor Vehicle (DMV) Broward County Regional office as well as the South Florida Regional office of the DMV fuel tax auditing program and the DMV Motor Vehicle Inspection Program (MVIP).

Administered the compliance and fuel tax auditing program in South Florida (eight counties) consisting of approximately 7,000 Motor Carriers.

Conducted the regulatory and compliance auditing of 1,200 Auto Dealers and 2,500 Auto Repair shops in the county.

Conducted all the regional planning, administration, and directing of all activities related to the implementation of the Motor Vehicle Program requirements, the Fuel Tax Program requirements, Florida Statutes, Departmental Policy, and the Rules and regulations of the Division of Motor Vehicles.

Supervised reviewed, trained and developed a group of Seven Tax Auditors and 14 Compliance Examiners who conducted compliance audits of the Trucking Fleet operators, Auto Dealers and Auto Repair Shops.

Supervised a regional supervisor and two administrative staff.

Developed, implemented and managed all operational objectives and goals, action plans and budget plans for the regional office.

**ACCOMPLISHMENTS:**

Developed and implemented a standard auditing program to meet and surpass EPA requirements for the emission testing in Broward County.

Member of a 24-member Strategic Planning Team for The Department of Highway Safety and Motor Vehicles.

Accomplished the smooth start up and running (in South Florida) of two of the largest programs run statewide by the department of Highway Safety and Motor Vehicles: 1) The MVIP (Motor Vehicle Inspection Program) in Broward County - second largest in the state involving 1.2 Million motorists, 1200 auto dealers and 2,500 auto repair shops; 2) The Fuel Use Tax and International Registration program in South Florida (eight counties) - involving 7,000 plus trucking companies.

**Reason for Leaving**

Better Opportunities.

**District Manager/Auditor**

10/1987 - 11/1990

Hours worked per week: 40

Monthly Salary: \$0.00

May we contact this employer?

FAYVA SHOE CORPORATION  
Fort Lauderdale, Florida

**Duties**

Division of major retailing corporation with 1200+ retail stores

As District Manager:

Responsible for the operational management for 17 stores in the Orlando, Jacksonville, Daytona, Hillsboro, Pinellas, Okeechobee, Lakeland and West Palm Beach markets with corporate sales of approx. \$50 million.

Supervised three District staff, 17 Store Managers directly and 100+ store staff indirectly.

As District Auditor:

Responsible for the operational, financial and inventory audits for the stores in the Orlando, Jacksonville, Daytona, Hillsboro, Pinellas, Okeechobee, Lakeland and West Palm Beach markets with corporate sales of approx. \$50 million.

Responsible for loss prevention activities and investigations of the Florida Stores. □ Supervised one Staff Auditor and two Loss Prevention Specialists.

**TRAINING MANAGER**

Hours worked per week: 40

7/1982 - 10/1987

Monthly Salary: \$0.00

May we contact this employer?

FAYVA SHOE CORPORATION  
Fort Lauderdale, Florida

**Duties**

Responsible for the hiring, training and development of all Management Trainees and Senior Sales Associates in the South Florida market. Supervise and/or Review employee counseling and performance reviews.

**Certificates and Licenses**

Type: Certified Information Systems Auditor

Number: 102042

Issued by: ISACA

Date Issued: 6 /2000    Date Expires: 6 /2019

Type: Certified Government Auditing Professional

Number:

Issued by:

Date Issued: 6 /2011    Date Expires: 6 /2019

Type: Certified Internal Auditor

Number: 933228

Issued by: Institute of Internal Auditors

Date Issued: 1 /1996    Date Expires: 12 /2020

Type: Certified Fraud Examiner

Number: 57759

Issued by: ACFE

Date Issued: 1 /2009    Date Expires: 10 /2020

**Skills**

Office Skills

Typing:

Data Entry:

**Additional Information**

Professional Associations

Board of Governors - Palm Beach Chapter Institute of Internal Auditors

Professional Associations

Palm Beach Chapter- Institute of Internal Auditors

Professional Associations

Association of Certified Fraud Examiners

Professional Associations

Control Self Assessment Center

Professional Associations

Information Systems Audit and Control Association

Professional Associations

Infragard

Honors & Awards

Internal Audit Professional with extensive experience in operational and compliance auditing, risk assessment, business process evaluation, information technology assessments, and fraud investigation. Proven ability to build and lead teams and drive organizational efficiencies through effective planning, coaching and administration. Recognized as a progressive thinker with strong problem solving and time management skills. An excellent communicator and good listener with the ability to work with all levels of the organization

Additional Information

INTERNAL AUDIT EXPERIENCE:

Conducted financial, operational, compliance and performance audits in accordance to GAAS, GAGAS and Standards for the Professional Practice of Internal Auditing.

Plan and develop audit scope, objectives and audit programs using risk and control based auditing techniques.

Develop risk assessments for critical business processes, and integrity of IS systems incorporating comparative evaluations using peer and best practice data.

Assess internal control procedures specific to business and function areas to determine the adequacy and effectiveness of controls, procedural and organizational effectiveness and efficiency, the safeguarding of assets and the identification of fraud and abuse.

Review transactions, documents, records, and processing methods to validate accuracy and effectiveness and to identify inefficiencies, fraud, waste and/or abuse.

Propose recommendations for improvements in processes and system controls.

Prepare reports (including audit

References

Professional

[Redacted]

Professional

**Sharma, Vishnu**

Director of Internal [Redacted]

Professional

**Clark, John**

Director Of Internal Audit - American Racing & Entertainment

[Redacted]

Professional

**Vann, Allen**

Inspector General - Florida International University

[Redacted]

Resume

Text Resume

Attachments

Attachment	File Name	File Type	Created By
AFerns Transcript and	AFerns Transcript and	Other	Job Seeker

Certificates.pdf

Certificates.pdf

AFernsResume Delray Beach.doc

AFernsResume Delray Beach.doc

**Resume**

Job Seeker

**Agency-Wide Questions**

- 1. Q: The application MUST be completed whether you submit a resume or not. It is important that your application show all the relevant education and experience you possess. Applications may be rejected if incomplete. Resumes alone WILL be rejected. PLEASE NOTE THAT ONCE YOU SUBMIT YOUR APPLICATION, YOU WON'T BE ABLE TO ADD OR CHANGE ANYTHING and you will NOT be able to reapply for the same position.**

A: Acknowledged, I entered all my education and experience information in this application. I understand that my application may be rejected if incomplete. Also, I understand that application needs to be completed whether I submit a resume or not and I understand that resumes alone will be rejected. I checked my application before submitting and I understand that I won't be able to change or add anything once the application is submitted.

- 2. Q: The City of Delray Beach does not discriminate on the basis of race, color, national origin, sex, religion, age or disability, marital status, family status or sexual orientation in employment or the provision of services.**

A: Acknowledged

- 3. Q: May inquiry be made of your present employer?**

A: Yes

- 4. Q: Were you ever dismissed from any public employment for disciplinary reasons? If yes, explain in EXPLANATIONS Field.**

A: No

- 5. Q: EXPLANATIONS: Use this space to explain.**

A:

- 6. Q: If you are NOT a U.S. Citizen, enter Alien Registration# (enter N/A if not applicable)**

A: N/A

- 7. Q: Have you previously been employed by the City of Delray Beach?**

A: No

- 8. Q: Do you have any relatives employed by the City of Delray Beach?**

A: No

- 9. Q: EXPLANATION: If you entered yes on question #8, what is the name of the relative and your relationship. (Please indicate N/A if not applicable)**

A: N/A

- 10. Q: How did you hear about this agency/opportunity?**

A: City's Job Announcement

- 11. Q: If "Other" is selected on question #10, please specify:**

A:

- 12. Q: 1. The information that I have provided on this application is accurate to the best of my knowledge and subject to validation by the City of Delray Beach. 2. I authorize the persons,**

schools, current employers (if approved by me in the Experience section) and other employers named in this application to provide the City of Delray Beach with any relevant information that may be required to arrive at an employment decision. 3. I understand that persons applying for a position which involves contact with children are required to be fingerprinted for the purpose of a background check in accordance with Florida law. 4. In the event that I am accepted for employment with the City of Delray Beach, I agree to sign a notarized statement to uphold the constitution of the United States and the State of Florida.

A: Acknowledged

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- 13. Q:** 5. I understand and agree that: A. Any misrepresentation or omission of a fact in my application may be justification for refusal of, or if employed, termination from the City's employment. B. Although, management makes every effort to accommodate individual preferences business needs may, at times, make the following conditions mandatory: overtime, shift work, a rotating work schedule or a work schedule other than Monday through Friday. I understand and accept these conditions of my continuing employment. C. A medical examination including drug screening will be required by the City, at the City's expense. I understand that any offer of employment will be conditional on successful completion of this medical examination. I agree to sign a release of medical information in connection with this medical examination, and I understand that the results thereof relating to my capacity to perform as a City employee will be communicated to the City. D. In the event of employment, I understand that I am required to abide by all employee's rules and regulations of the City of Delray Beach. E. This application is continuing in nature for twelve (12) months from the date of signature, and I understand and agree that any information contained herein, shall be updated by me to provide all current information or changes in the information contained, herein, whenever such changes occur, until the expiration of the Employment Application twelve (12) months from the date of my signature and date set forth below. Specific attention should be addressed to items including, but not limited to, present address, education completed and degrees received, employment record, currency of any professional registration, licenses or certifications, suspension or revocation of Driver's License, and a conviction having been found guilty of violation of any law, police regulation or ordinance, including minor traffic violations, but excluding parking tickets.

A: Acknowledged

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- 14. Q:** Do you possess a **valid Florida Driver's License**? If yes, please mark the class of your license.

A: Class E: Any non-commercial motor vehicles with Gross Vehicle Weight Rating (GVWR) less than 26,001 pounds, including passenger cars, 15 passenger vans including the driver, trucks or recreational vehicles and two or three wheel motor vehicles 50 cc or less, such as mopeds or small scooters.

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- 15. Q:** What is your highest level of education completed?

A: Master of Business Administration

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- 16. Q:** **Valid e-mail address is necessary to be able to receive notifications from Human Resources department including but not limited to notices to schedule typing test, interview, application received or rejected notices, etc. Most correspondence will be done through e-mail notifications. Please ensure that valid e-mail address shows on your application and that you remember your login information.**

A: Acknowledged. It is my responsibility to provide a valid e-mail address for correspondence and e-mail notifications. I understand that if I don't check my e-mails or forget my login information, I might miss important messages regarding the position I applied for, and/or any tests or pre-requisites that might be required of me, which could result in missing the opportunity to be considered for the position.

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- 17. Q:** Are you claiming Veteran's Preference? If so, you must scan and attach a copy of your DD214 to this application in order to be considered.

A: No

**18. Q: VETERANS' PREFERENCE INFORMATION** Veterans' Preference ensures that veterans and eligible persons are given consideration at each step of the selection process. However, preference does not guarantee that a veteran or other eligible person will be the candidate selected to fill the position. Completion of the Veterans' Preference section below is made on a voluntary basis and kept confidential in accordance with the Americans with Disabilities Act. Section 295.07, Florida Statutes (F.S.) specifies who is eligible for Veterans' Preference. State of Florida residency is not required for Veterans' Preference. Listed below are Veterans' Preference categories. 1. A veteran with a service-connected disability who is eligible for or receiving compensation, disability retirement, or pension under public laws administered by the U.S. Department of Veterans' Affairs and the Department of Defense. [section 295.07(1)(a), F.S.] 2. The spouse of a veteran who cannot qualify for employment because of a total and permanent service-connected disability, or the spouse of a veteran missing in action, captured, or forcibly detained or interned in line of duty by a foreign government or power. [section 295.07(1)(b), F.S.] 3. A wartime veteran as defined in section 1.01(14), F.S., who has served on active duty for one day or more during a wartime period or who has served in a qualifying campaign or expedition. Active duty for training shall not qualify for eligibility under this paragraph. [section 295.07(1)(c), F.S.] 4. The un-remarried widow or widower of a veteran who died of a service-connected disability. [section 295.07(1)(d), F.S.] 5. The mother, father, legal guardian, or un-remarried widow or widower of a member of the United States Armed Forces who died in the line of duty under combat-related conditions, as verified by the United States Department of Defense. [section 295.07(1)(e), F.S.] 6. A veteran as defined in section 1.01(14), F.S., excluding active duty for training. [section 295.07(1)(f), F.S.] 7. . A current member of any reserve component of the United States Armed Forces or the Florida National Guard. [section 295.07(1)(g), F.S.] If an applicant claiming Veterans' Preference for a vacant position is not selected, he/she may file a complaint with the Florida Department of Veterans' Affairs. A complaint must be filed within 60 calendar days of the applicant receiving notice of the hiring decision made by the employing agency.

A: Acknowledged

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**19. Q: IF ELIGIBLE, WHICH VETERANS' PREFERENCE CATEGORY ARE YOU CLAIMING?**

A: This does not apply to me

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**20. Q: NOTE:** If you are claiming Veterans' Preference you must meet the criteria and substantiate your claim by furnishing a DD 214 (Certificate of Release or Discharge from Active Duty), Veterans' Preference Claim Form and any other required supporting documentation with your application; **otherwise you will not be considered. You must scan and upload the documents as an attachment to your application.** Download Veterans' Preference Forms <http://www.mydelraybeach.com/Veteran's%20preference%20claiming%20form.pdf>

A: Acknowledged

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**21. Q: VETERANS' PREFERENCE CLAIM** I am claiming Veterans' Preference

A: No

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**22. Q:** Please note that you may be required to show proof of required college degree, certifications, licenses, high school diploma or G.E.D., from an accredited institution at the time or prior to an interview.

A: Acknowledged

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**23. Q:** The City of Delray Beach is a Non-Tobacco Workplace. The City will not consider applicants who have used tobacco or nicotine products for a period of at least three months prior to application for employment. The definition of "tobacco or nicotine products" includes but is not limited to, cigarettes, cigars, chewing tobacco, pipes, snuff, e-cigarettes and nicotine patches or gum.  
\*One year for safety positions\*

A: I acknowledge

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**24. Q:** I understand that as a condition of my employment with the City of Delray Beach that I must currently possess or obtain within 30 days after employment the following Federal Emergency Management Agency (FEMA) Independent Study (IS) course certificates: IS-100 - Introduction



to Incident Command System IS-700 - National Incident Management System - NIMS an Introduction

A: I acknowledge

- 25.** Q: I understand that as a condition of my employment with the City of Delray Beach that I MAY be called to work before, during or after an incident to support the City during a declared emergency. When called to work it may be assigned to other departments, tasks or responsibilities outside my normal permanent position. This flexibility is necessary for the general welfare and operation of the City during a designated emergency.

A: I acknowledge

#### Supplemental Questions

- 1.** Q: The City will not consider applicants who have used tobacco products for a period of at least three months prior to application for employment. The definition of "tobacco products" shall include, but is not limited to, cigarettes, e-cigarettes, cigars, chewing tobacco, pipes and snuff. Have you used any tobacco products for a period of at least three months prior to your application for employment?

A: No

- 2.** Q: Do you possess a Bachelor's Degree in accounting or related field supplemented by a CPA or CIA Certification?

A: Yes

- 3.** Q: How many years of verifiable experience do you have as an internal or external auditor of government accounting systems?

A: Ten years or more

- 4.** Q: Please describe your professional experience (task, duties, responsibilities, etc) as an internal or external auditor of government accounting systems?

A: Conducted financial, operational, compliance and performance audits in accordance to GAAS, GAGAS and Standards for the Professional Practice of Internal Auditing.  
Plan and develop audit scope, objectives and audit programs using risk and control based auditing techniques.  
Develop risk assessments for critical business processes, and integrity of IS systems incorporating comparative evaluations using peer and best practice data.  
Assess internal control procedures specific to business and function areas to determine the adequacy and effectiveness of controls, procedural and organizational effectiveness and efficiency, the safeguarding of assets and the identification of fraud and abuse.  
Review transactions, documents, records, and processing methods to validate accuracy and effectiveness and to identify inefficiencies, fraud, waste and/or abuse.  
Propose recommendations for improvements in processes and system controls.  
Prepare reports (including audit reports) to be presented to auditee management, Audit Committees, Board of County Commissioners and other stakeholders.  
Conduct post audit follow ups to measure outcome of implemented recommendations and/or actions taken.  
Developed and managed numerous Audit Projects. Led audit project teams, by developing and implementing project strategy, and developing, coaching, and supervising team members  
Managed and supervised audit staff  
Participated in a number of Organization level quality improvement teams utilizing the Deming as well as the Baldrige quality improvement approach.  
Conducted numerous training seminars in Organizational planning, performance management and process improvement.  
Conduct compliance and performance audits of all County Departments in accordance to GAGAS and Standards for the Professional Practice of Internal Auditing.

- 5.** Q: Are you claiming Veterans preference in employment? If so, the claim form and other required documents must be attached to this application before you submit it; otherwise your claim will not be considered.

A: No